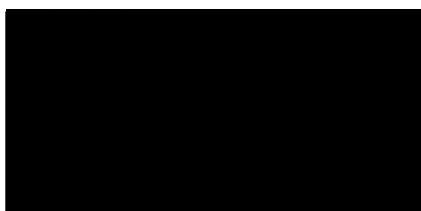


25 February 2019



Dear 

Re: Official Information Act request for details of any issues-based audits or investigations into mental health

Thank you for your Official Information Act request dated 08 January 2019 seeking the following of the Auckland and Waitemata DHBs:

- 1. Details of any issues-based audits or investigations into mental health services in your DHB area carried out, commissioned or provided to the DHB for the past four years (2015-2018).*
- 2. Please provide the name of the service and the year it was audited, a copy of the original complaint (or whatever sparked the investigation) a copy of the completed audit and any follow up reports.*

The attached table (**Appendix One**) includes details of the issues-based audits of Mental Health Services for Auckland and Waitemata DHBs - as requested in questions 1 and 2. It should be noted that none of the audits within the table were initiated due to complaints.

Once an audit is complete, corrective actions are followed up by the relevant DHB and the Ministry of Health. There may be need for immediate action and this is determined on the basis of risk. Some corrective actions may be incorporated into subsequent routine audits and follow up processes.

The first of the completed audits involves Raukura Hauora O Tainu Trust. We believe that Raukura Hauora O Tainui Trust's commercial interests would be adversely affected by the release of the audit report and the need to protect those interests is not outweighed by the public interest in the information being made available. The DHB is, therefore, withholding the report under clause 9(2)(b)(ii) on the grounds of commercial sensitivity.

A second audit, relating to Te Kotuku Ki Rangi Charitable Trust is withheld under Sections 9(2)(i) and 9(2)(j) of the Official Information Act 1982.

In the period covered by the request we can confirm that there were no issues-based audits conducted at Auckland DHB.

If you are dissatisfied with this decision, you have the right to make a complaint to the Ombudsman, which can be contacted via www.ombudsman.parliament.nz.

I trust that this information meets your requirements. Waitemata DHB and Auckland DHB, like other agencies across the state sector, supports the open disclosure of information to assist the public's understanding of how we are delivering publicly-funded healthcare.

This includes the proactive publication of anonymised Official Information Act responses on our website from 10 working days after they have been released.

If you feel that there are good reasons why your response should not be made publicly available, we will be happy to consider this.

Yours sincerely

A handwritten signature in blue ink, appearing to read 'Debbie Holdsworth', written over a vertical line that serves as a placeholder for a stamp or seal.

Dr Debbie Holdsworth
Director Funding
Waitemata District Health Board

Appendix 1: Summary of issues-based audits within Auckland and Waitemata DHBs 2015-2018

Organisation	DHB contracting	Year of Audit	Instigating Issue	Audit report
Raukura Hauora O Tainui Trust	Waitemata DHB	2017	Ministry Of Health requested Audit and Compliance undertake a review of the trusts financial position following an audit undertaken in 2015	Financial Audit Withheld under clause 9(2)(b)(ii) on the grounds of commercial sensitivity.
Te Kotuku Ki Rangi Charitable Trust	Waitemata DHB	2016	Requested by the DHB following ongoing contract monitoring discussions	Financial and Claims Audit Withheld on the basis that releasing the information contained in the audit report is: (a) likely to prejudice Waitemata DHB's ability to carry out commercial activities without prejudice or disadvantage and is, therefore, withheld under s9(2)(i) of the Official Information Act 1982 and (b) releasing the information is likely to prejudice Waitemata DHB's ability to carry on negotiations with Te Kotuku Ki Rangi without prejudice or disadvantage and is, therefore, withheld under s9(2)(j) of the Official Information Act